DATE	NUMBER	DETAILS
14-04-2011	0	Draft for review and comments
26-05-2011	1	Issue for use
12-07-2011	2	Amended clause [3], [6.2], [6.3], [10.1]
14-09-2011	3	Amended clause [5.1b], [5.2b]
13-10-2011	4	Amendment on retest provisions clause [5.1.d]&[5.3.c] and clause [7.3]
18-03-2015	5	Amended to align with ISO/IEC 17067: 2013
20-10-2015	6	Amended NOTE in clause [1.3] to read Type 1b instead of Type 1
25-12-2017	7	Amended to change the validity of the Certificate and modifying section name.
16-05-2018	8	Revised format as per the unified DM template;
09-04-2019	9	Updated as per new DM logo

1. INTRODUCTION AND SCOPE

1.1 This certification system is in accordance with the provisions of ISO/IEC 17067: 2013 “Conformity assessment – Fundamentals of product certification and guidelines for product certification schemes”.

1.2 Using the functional approach, ISO/IEC 17067 identifies at least 6 types of product certification schemes, depending on the functions applied and the activities carried out under each function. The DCLD Type 1 Certification System consists of a series of certification schemes having defined activities in the following five functions: (1) Selection, (2) Determination, (3) Review, (4) Decision and (5) Attestation.

1.3 Type 1 certification is previously referred to as “System 1” in ISO/IEC Guide 67 (which is superseded by ISO/IEC 17067: 2013).

NOTE: Until updated, use of the word “System 1” in related documentation such as Specific Rules, Reference Documents, Forms, and Guidance Documents shall be interpreted to mean as “Type 1b” as defined herein.

1.4 The different schemes under the Type 1 Certification System shall follow these general rules in addition to the specific requirements as given in the Specific Rules for each particular scheme.

1.5 These general rules cover both Type 1a and Type 1b certification schemes. The relevant specific rules shall indicate whether the particular scheme is Type 1a or 1b.

2. DEFINITION OF TERM

2.1 Certification – procedure by which a third party gives written assurance that a product, process or service conforms to specified requirements.

2.2 Certification system – rules, procedures and management for carrying out certification.

2.3 Certification scheme – certification system related to specified products, processes, or services, to which the same specified requirements, specified rules and procedures, applies.

2.4 Specific rules (of the scheme) – a document specifying the set of rules that are applicable to a particular certification scheme. The rules shall be based on the requirements of the standard specification or regulation.

2.5 Standard specifications – refers to the product standard specification or regulatory requirements against which the conformity of the product is being evaluated. Conformity with the standard specification is the basis for granting the System 1 Certificate of Product Conformity.

2.6 Type 1 Certificate of Product Conformity – a document issued under the rules of this certification system, by which DCLD-PCAS attests that the product subjected to evaluation in accordance with the rules of the relevant certification scheme, conforms to the requirements of the standard specification.

2.7 DM Certification Body – refers to Dubai Municipality - Dubai Central Laboratory Department – Products Conformity Assessment Section (DCLD-PCAS).

2.8 Client – refers to the person or body that applies for certification and to whom the Type 1 Certificate of Product Conformity is issued. The client may be the owner, manufacturer, supplier, user, specifier, or approver of the product.

3. GENERAL PROVISIONS FOR TYPE 1 CERTIFICATION SYSTEM

3.1 Type 1a

3.1.1 Type 1a schemes are considered as (product) certification wherein the Certificate of Product Conformity is applicable to the type of products that were subjected to determination. Previous

and subsequent production items are not covered by the attestation of conformity.

3.1.2 Without any liability on the part of the DM Certification Body, the manufacturer may refer to the Certificate of Product Conformity in declaring that the samples are representatives of production items that are being manufactured in accordance with the certified type.

3.2 Type 1b

3.2.1 Type 1b Certificate of conformity shall apply only to products included in an identified batch (or lot) as given in the application and subsequently in the certificate.

3.2.2 The batch (or lot) of product to be certified shall be of uniform properties (type, model, etc.) from where a random sample representing the batch (or lot) shall be selected.

3.2.3 The manufacturer of the product shall have a quality management system (QMS) certified to ISO 9001 by a certification body that is accredited by Dubai Accreditation Center (DAC) or IAF recognized accreditation body.

3.3 In order to grant the Type 1 Certificate of Conformity, the product shall satisfy the relevant Specific Rules issued by DM Certification Body for that particular product.

4. APPLICATION

4.1 Applications for a Type 1 Certification System shall be made on the appropriate Application Form, which is available from the DM Certification Body.

4.2 Separate application shall be submitted for each product that refers to a different Specific Rules.

4.3 Within the same Specific Rules, separate applications shall be submitted for each batch or lot that has a different identification and for which a separate Certificate shall be issued in case of satisfactory results of evaluation.

4.4 The DM Certification Body shall assign a Certification Personnel to implement the certification process. The Certification Personnel shall coordinate with the applicant and make all necessary arrangements for the implementing the certification.

5. CERTIFICATION PROCESS

5.1 Selection of samples

5.1.a Selection of samples shall be in accordance with the relevant sampling procedure given in the standard specification. This sampling procedure shall be referred to in the Specific Rule.

5.1.b If there is no sampling procedure in the standard specification, the assigned Certification Personnel shall formulate a statistical random sampling plan based on available information about the product to be certified (i.e. quantity, types, models, location, source, etc.);

5.1.c For Type 1a schemes, the total quantity may be a single product, in which case there is no need for any sampling plan.

5.1.d For Type 1b schemes, the sampling plan shall be formulated by taking guidance from RD-DP32-5003 (IC), such that it will represent the population of the batch of product being certified.

5.1.e Quantity of samples shall be as per the requirements of tests to be carried out.

5.1.f Sampling for retests may be carried out in accordance with clause [5.3.c].

5.2 Determination of the product properties

5.2.a The properties to be determined shall be in accordance with the applicable Specific Rules. Determination shall be carried out by testing according to standard test method. Additional

determination through visual inspection, measurement, etc., shall be carried out as necessary according to the applicable Specific Rules.

5.2.b The test shall be carried out at an independent laboratory, preferably at DCLD. The selection of the independent laboratory shall be in accordance with reference document number IMS-RD-09 "Subcontracting of certification activities".

5.2.c Results of testing (and other types of determination) shall be documented in official test report(s), which will be part of the supporting documents for the certification process.

5.3 Review of results and evaluation of conformity

5.3.a The results of testing conducted on the sample as per clause [5.2] shall be evaluated against the "Pass/Fail" criteria as specified in the standard specification and the applicable Specific Rule.

5.3.b The product shall be considered as conforming to the standard specification if all the results of testing and other determinations conducted are meeting the "Pass" criteria. In this case, the product shall be recommended for the issuance of the Type 1 Certificate of Product Conformity.

5.3.c In case the sample fails to meet the requirements, the applicant may request for re-sampling and re-testing from the same batch of product/s, in accordance with the agreed sampling plan. If the new sample again fails to meet the requirements, the product shall be considered non-conforming and no certificate shall be issued.

5.4 Decision on certification

5.4.a The Head of the DM Certification Body (Head of DCLD-PCAS) shall be responsible for the approval and granting of the Type 1 Certificate of Product Conformity based on the results of evaluation [clause 5.3] and the recommendation of the assigned Certification Personnel.

5.4.b As decision maker for granting the certification, the Head of DCLD-PCAS shall not be involved in any activity related to the selection, determination and review for granting the certification.

5.5 Attestation of conformity

5.5.a If the decision is for granting certification, the DM Certification Body shall issue a Type 1 Certificate of Product Conformity with details and conditions as given in clause [6].

5.5.b If the decision is for NOT granting the certification, the DM Certification Body shall notify and provide to the applicant the results of determination and review, showing the justification for NOT granting the Type 1 certification.

6. DETAILS AND CONDITIONS FOR THE ISSUANCE OF THE TYPE 1 CERTIFICATE

6.1 For each Application, a Type 1 Certificate of Product Conformity (T1COC) shall be issued by the DM Certification Body upon completion and satisfactory results of the certification process. The T1COC shall include:

6.1.a an indication whether it is a Type 1a or Type 1b certification;

6.1.b a statement that the product tested conforms to the standard specifications or specified requirements;

6.1.c a description of the product tested, including the source, location of sampling, any unique identification, and if applicable, the batch and quantity from where it was taken, etc.;

6.1.d The sampling method, or a description of how the sample was selected.

6.1.e The full evaluation report with all details of tests carried out, results, and Pass/Fail status, which will be considered as an integral part of the T1COC.

6.2 The T1COC applies only to the particular product type or batch that was subjected to sampling and testing in accordance with the applicable Specific Rules.

6.3 The T1COC shall NOT be interpreted to represent the entire production (*NOTE 1*)

6.4 The T1COC shall have a maximum validity of either three (3) months or one (1) year from the date of issue as applicable to specific products.

NOTE 1: For certification of entire production, refer to RD-DP21-2001 General rules for certification through factory assessment

7. PUBLICITY FOR CERTIFIED PRODUCTS

7.1 The DM Certification Body shall maintain and publish a Register of Certified Products under Type 1 Certification System. The information in the register shall include, as a minimum, the name of the applicant, the product or types of product for which certification has been granted, and the applicable standard or normative document against which the product has been assessed and found in compliance.

7.2 The DM Certification Body shall, within its power, take the necessary action to market and promote the DM Third Party Certification System through any means available.

7.3 The applicant has the right to publish and advertise that he has been granted a Type 1 certificate. The applicant shall take care that the publications and advertisements does not create any confusions and/or misleading statements regarding the non-applicability of the certification to products other than the one tested and evaluated.

8. REVISION OF RULES AND STANDARDS

8.1 The DM Certification Body has the right to change these General Rules and any Specific Rules issued for a particular certification scheme. Applicants shall be informed accordingly as to the effective date for the implementation of the changes.

8.2 If the applicable standards or normative documents are revised or replaced, the DM Certification Body shall issue the revised rules accordingly, and (if necessary) other instructions for the implementation of the revised or replaced documents.

9. FEES

9.1 The applicant shall pay the necessary fees in accordance with the Schedule of Fees issued by the DM Certification Body.

9.2 DM Certification Body has the right to invoice for any additional work related to repeated or additional testing and/or sampling due to non-conformance found during regular assessment.

9.3 DM Certification Body reserves the right to amend the Schedule of Fees if necessary.

9.4 Paid fees are non-refundable.

10. APPEALS

10.1 The applicant may appeal any decision by the DM Certification Body in accordance with the Appeals Disputes and Complaints procedure, IMS-RD-08.

11. LIABILITY/DISCLAIMER

11.1 The DM Certification Body shall not be held liable for any action (legal or otherwise) raised by any party

against the applicant on matters resulting from the implementation of the DM Third Party Certification System.

11.2 The applicant is ultimately responsible for ensuring that the product meets the requirements of other applicable regulations that were not assessed during the certification process. This includes safety, health, and environmental regulations that are not necessarily covered by the standard or the normative document referred to in the Specific Rules.